



Financial news

Keep yourself up-to-date with the new MiFID II requirements

MiFID II requirements are changing

The Markets in Financial Services Regulation and Directive, commonly referred to as MiFID II, will take effect on the 3rd of January 2018. How will you be affected?

Ensure you stay up-to-date with BPP

MiFID II is composed of two pieces of legislation: a regulation and a Directive to amend the existing MiFID. MiFID II consists of a range of changes from building a new market infrastructure for fixed income markets, to slight amendments to the conduct of business regime, through to the introduction of a new product governance regime. This is a wide-scale change programme which is complex and will continue to impact the investment management landscape post implementation.

Our face to face course, **MiFID II – New Requirements for Investment Managers** offers the opportunity to prepare for these changes. It will benefit directors, executives and compliance professionals of fund management groups.

Learning outcomes include a greater understanding of:

- The impact of MiFID II on fund management firms

You will also learn, in the context of these directives, about:

- ESMA's role in drafting and overseeing regulation
- The intentions of the European Commission

We can help

Regulation and compliance within the Financial Services industry is always evolving. BPP have developed a range of courses to help you keep up to date.

For individuals, these courses are available as public or online modules to study at your convenience. However, if there are four or more people in your business who would like to develop their regulatory and compliance knowledge, in-house training can be a cost effective method of training teams of employees.



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Related courses

In-centre courses		
Course title	Description	CPD points
MiFID II - New Requirements for Investment Managers	This half day course cover both a Directive to amend the existing MiFID and of new European Regulations. MiFID II moves the regulation of investment management from its familiar form into the new, politicised, style now adopted by the European Commission and the ESAs. All investment firms will be affected by the changes coming into force. Prompt and robust preparation will enable firms to bring a measured approach to a further body of regulation from the EU.	3
Client Money & Assets (the CASS Regime) - Identify, Assess & Reduce Risks	With the Financial Conduct Authority (FCA) ever more pressing on firms over their handling of client money and custody assets, firms have to be fully on top of the obligations applicable to them. This course focuses on providing knowledge, understanding and insight in relation to the Client Money and Asset Sourcebook (CASS) as a document and as a body of regulation applicable to thousands of firms. This course specifically looks at Client Money, CASS 7, and Client Assets, CASS 6.	6
Financial Services Regulation - Keeping Up-To-Date	This course is suitable for members of Compliance and Risk departments of Financial Services organisations, and those whose work is impacted on by regulation. Also Professional Services professionals whose clients include Financial Services companies.	6
Basel III - Introduction	This course will be of benefit to regulatory reporting staff, senior managers, credit officers, compliance officers and advisors of banks and financial institutions, who need to understand the rapidly changing capital adequacy and reporting rules.	6
Key Aspects of the Money Laundering Regulation	This course will be of benefit to all those who work in the FCA regulated sector, who need to be aware of the Money Laundering Regulations 2007 and the Money Laundering Directives. The course covers the key regulations for money laundering and terrorist financing, an introduction into the subject as a whole and comprehensive coverage of the Money Laundering Regulations with specific emphasis on the FCA regulated sector.	6
Introduction to the Consumer Credit Act	This course will benefit all those who need a detailed introduction to consumer credit legislation and regulation, those who need an understanding of the historical position and those looking to understand the current FCA regime.	6

*For available courses, please visit our website <http://bit.ly/2uMXikU>

Online courses		
Course title	Description	CPD points
CASS - FCA's Client Assets Sourcebook	This course reviews many aspects of regulation; the FSA's Client Assets Sourcebook is being upgraded to take account of recent experience.	1
Senior management responsibilities	This course is aimed at those wishing to get an understanding of the responsibilities that the regulators require senior management in financial firms to undertake. The course will be of particular interest to approved persons.	1

*For available courses, please visit our website <https://store.bpp.com>



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